



國立高雄科技大學

National Kaohsiung University of Science and Technology

# FaceNet: A Unified Embedding for Face Recognition and Clustering

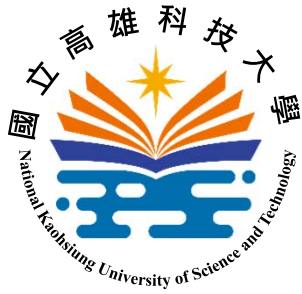
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*IEEE Intl. Conf. on CVPR, 2015*

Speaker: Shih-Shinh Huang

March 5, 2020



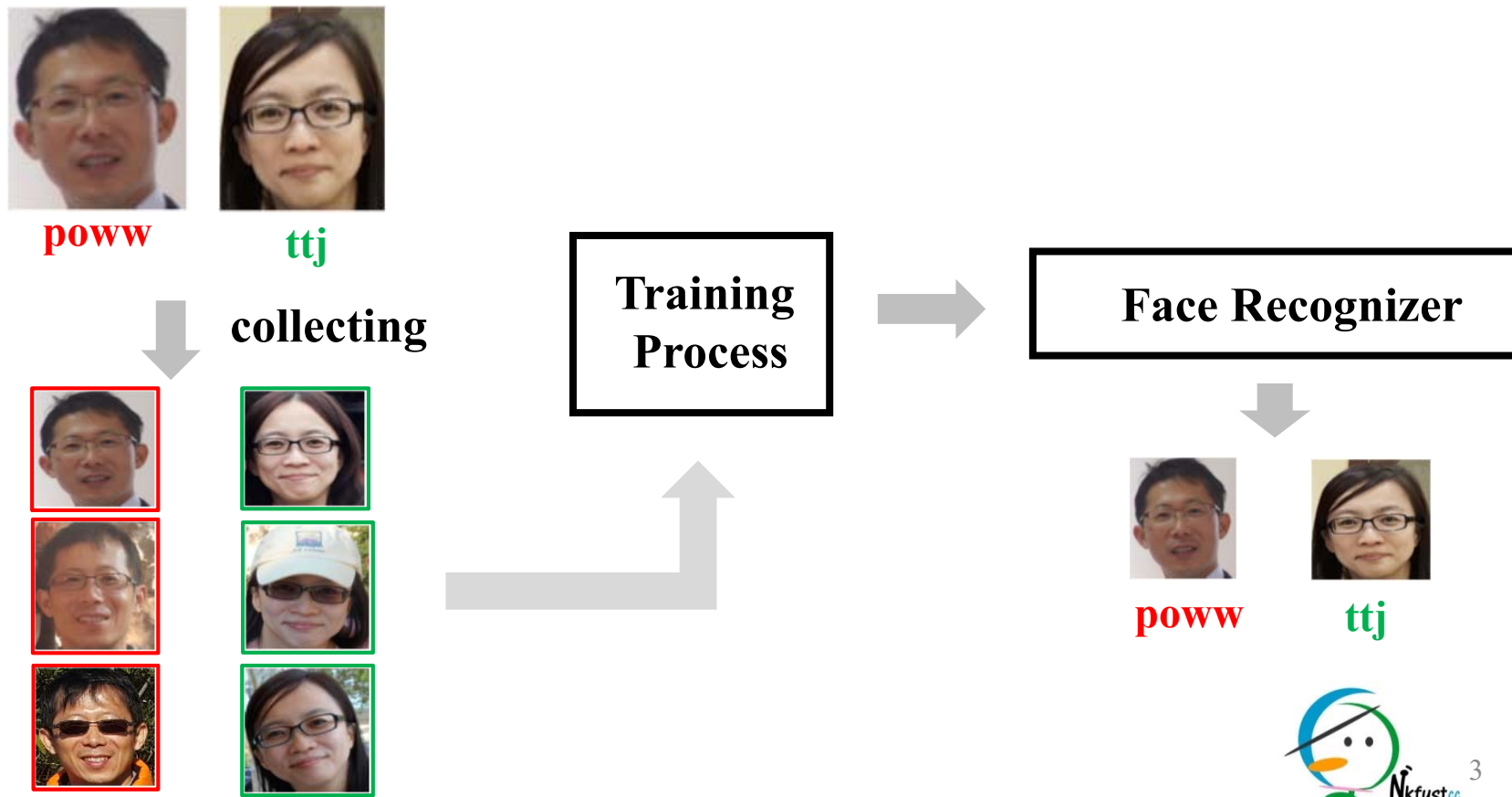


# Outline

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  - Idea of FaceNet
  - Benefit from FaceNet
- Euclidean Embedding
  - FaceNet Architecture
  - Triplet Loss
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  - Motivation
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  - Online Generation

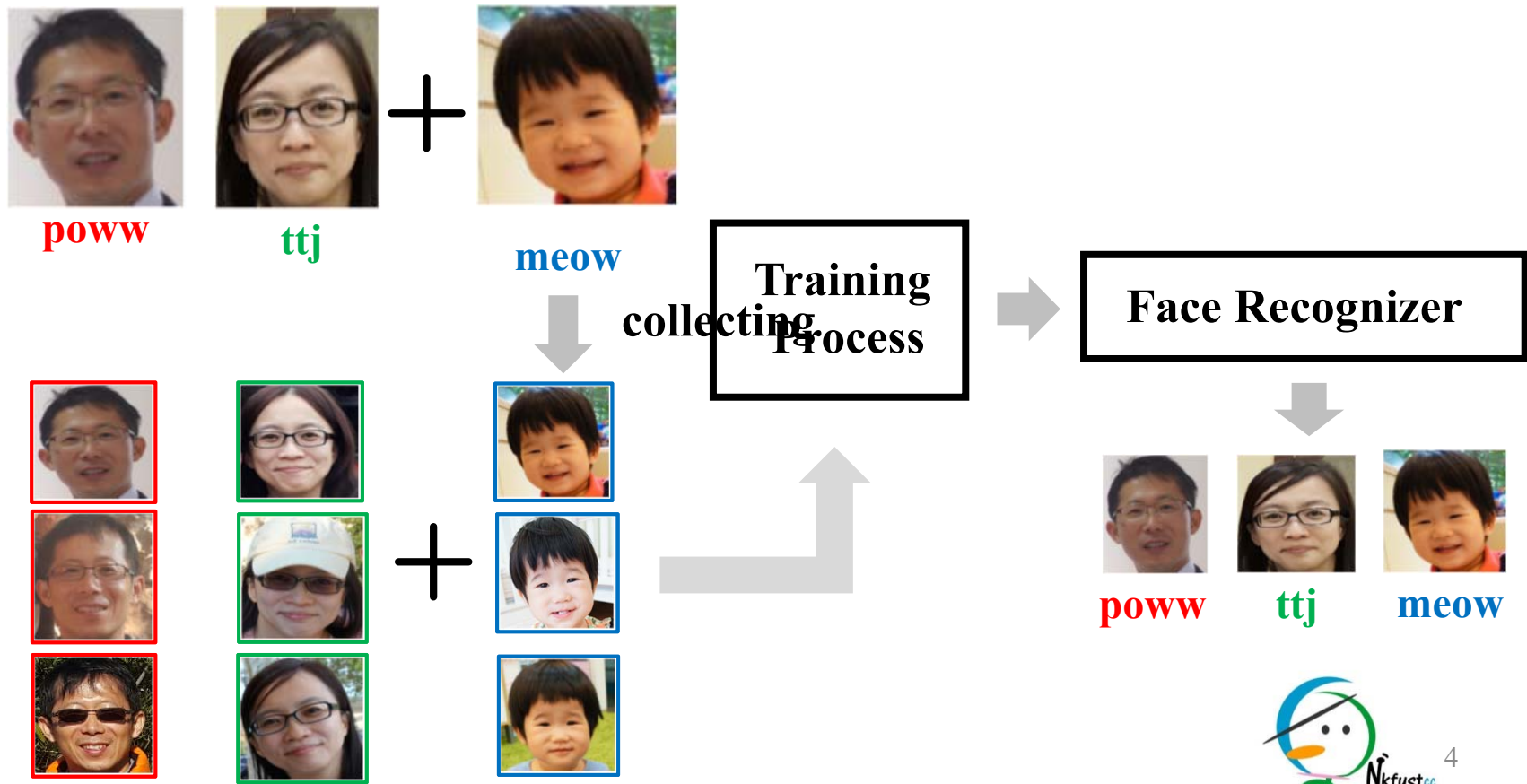
# Introduction

- Motivation: Previous Face Recognition



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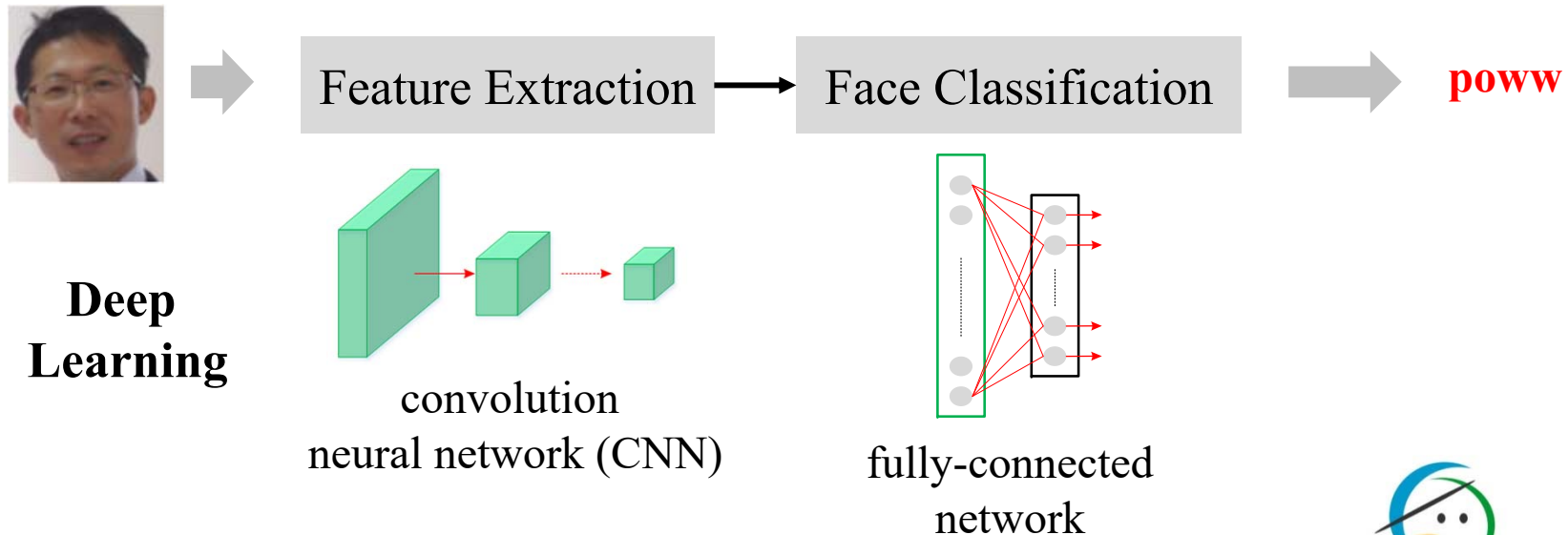


# Introduction

- Motivation: Problems
  - **Retraining Problem**: the cost of re-training is high and is sometimes not affordable.
  - **Data Collection Problem**: collecting sufficient faces to training a good classifier is impractical.

# Introduction

- Idea of FaceNet
  - A face recognizer generally consists of two stages
    - **Feature Extraction**: describe a face in an effective way.
    - **Classification**: assign an identifier to a face

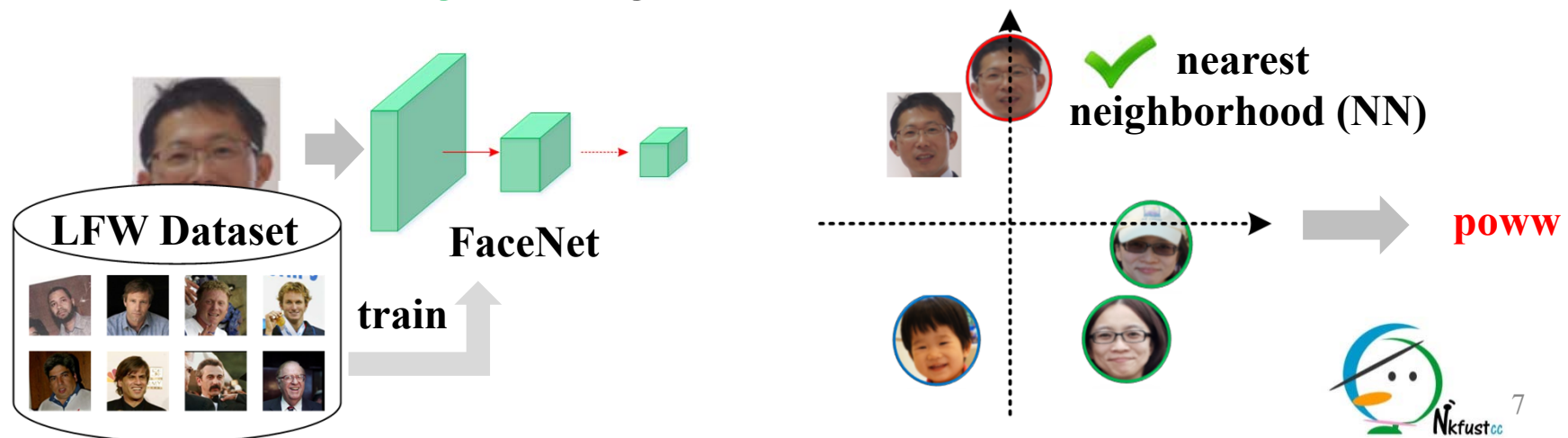


# Introduction

- Idea of FaceNet
  - de-couple two face recognition stages

Feature Extraction  $\rightarrow$  Face Classification

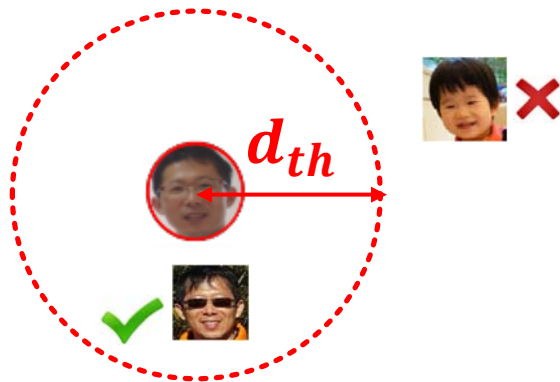
- FaceNet is a CNN just for feature extraction, and not training classification algorithms.



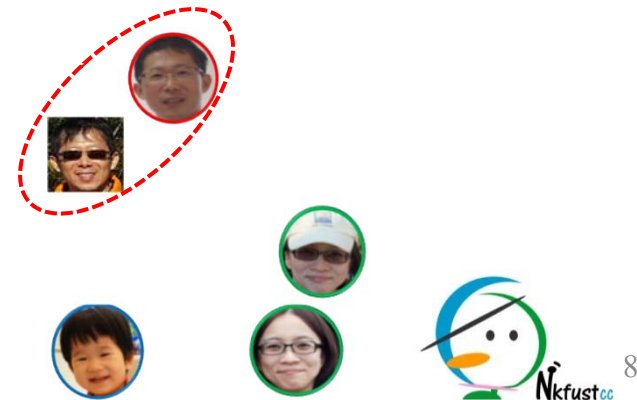
# Introduction

- Benefit from FaceNet
  - The trained FaceNet is applicable to any face applications **without** re-training.
  - The use of FaceNet makes the number of faces necessary for face-related tasks small

verification  $\rightarrow$  thresholding



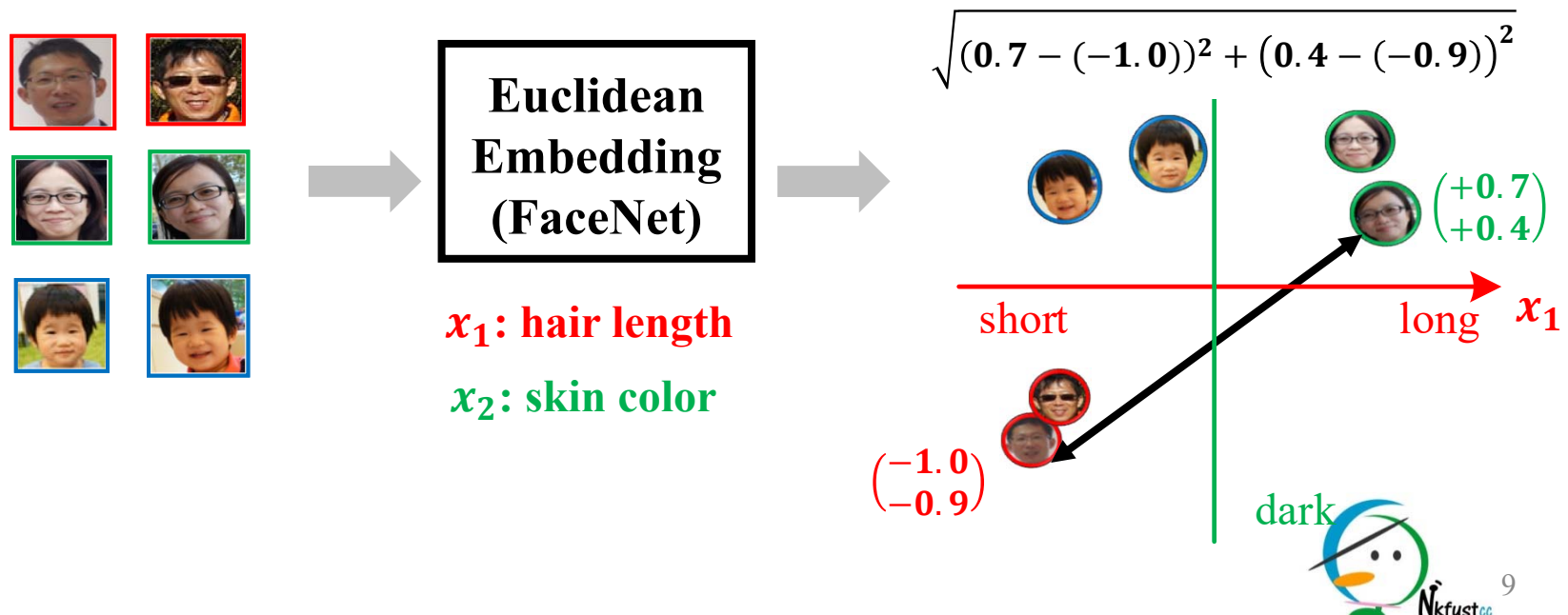
classification  $\rightarrow$  nearest neighborhood





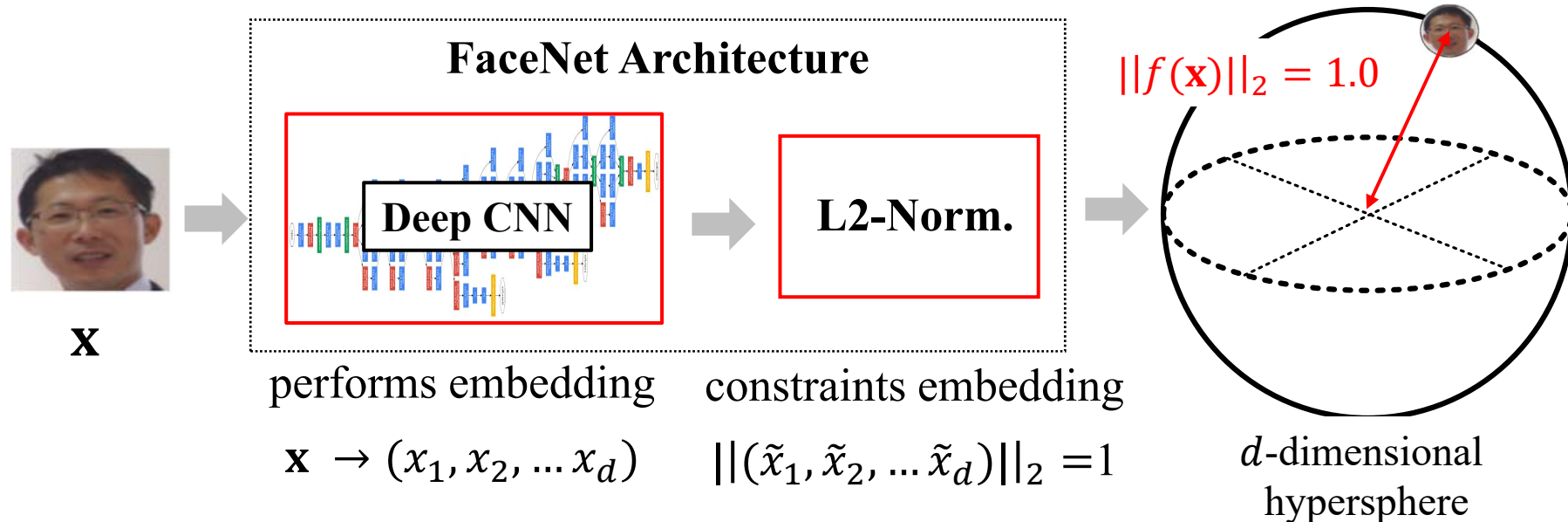
# Euclidean Embedding

- FaceNet Architecture  $f(.)$ 
  - maps a face clip to Euclidean space
  - makes the similarity measure possible.



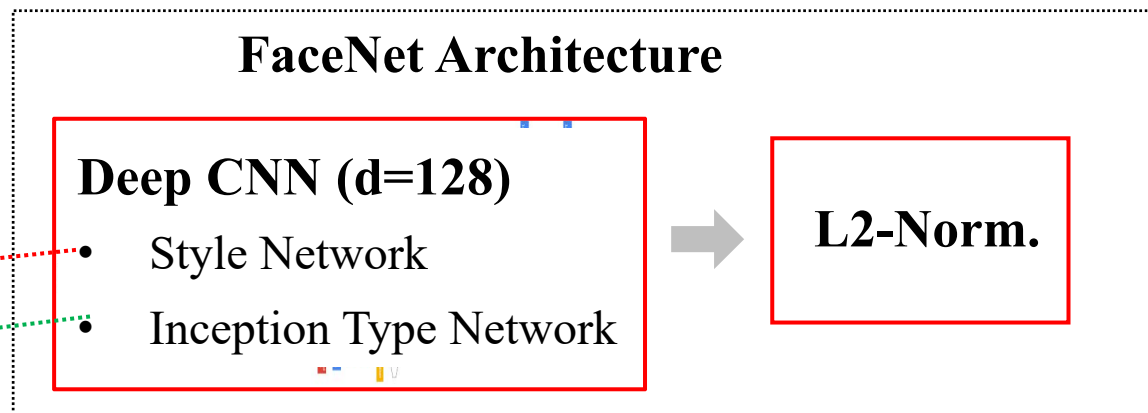
# Euclidean Embedding

- FaceNet Architecture  $f(\cdot)$ 
  - **Formal Description:** maps a face  $\mathbf{x}$  to a  $d$ -dimensional **unit vector**  $f(\mathbf{x}) = (\tilde{x}_1, \tilde{x}_2, \dots, \tilde{x}_d)$



# Euclidean Embedding

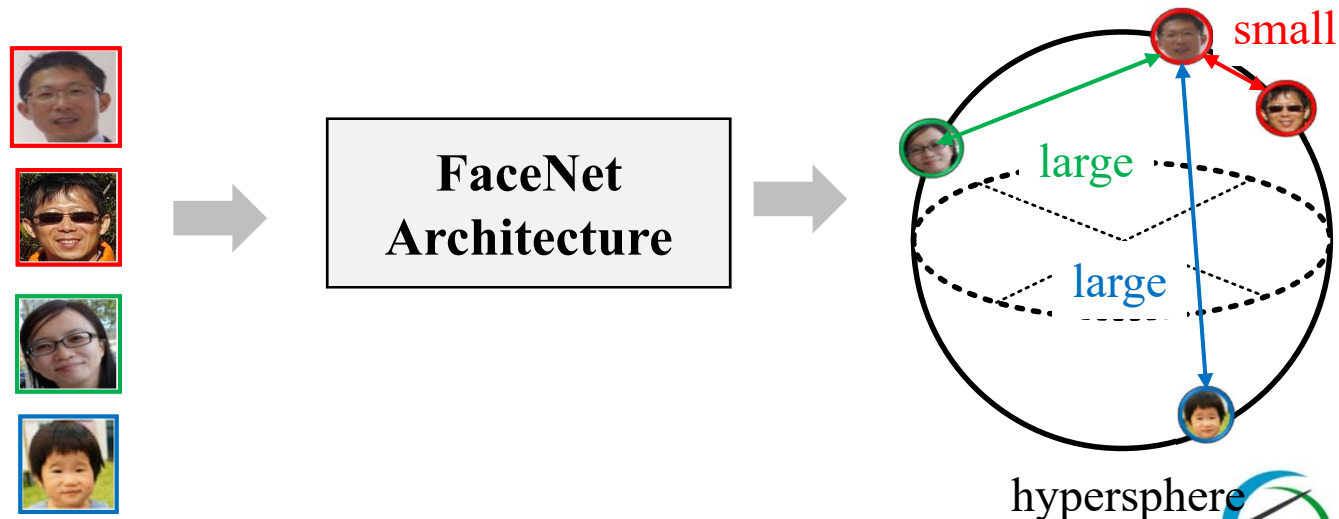
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- M. D. Zeiler and R. Fergus, "Visualizing and Understanding Convolutional Networks," CoPR, 2013
- C. Szegedy, et. al. "Going Deeper with Convolutions," CoPR, 2014

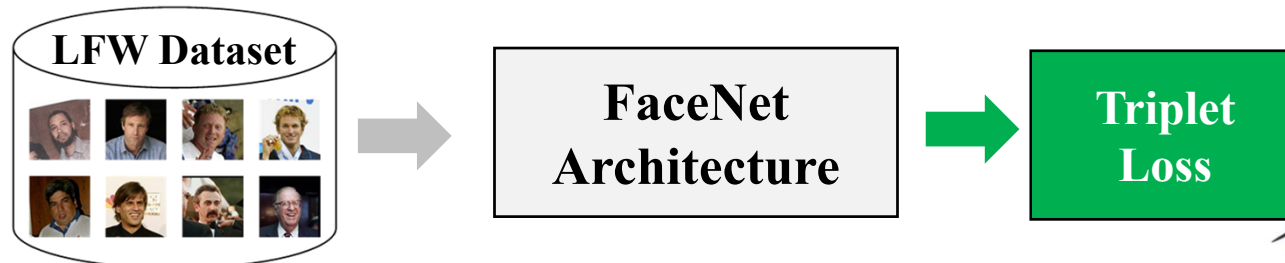
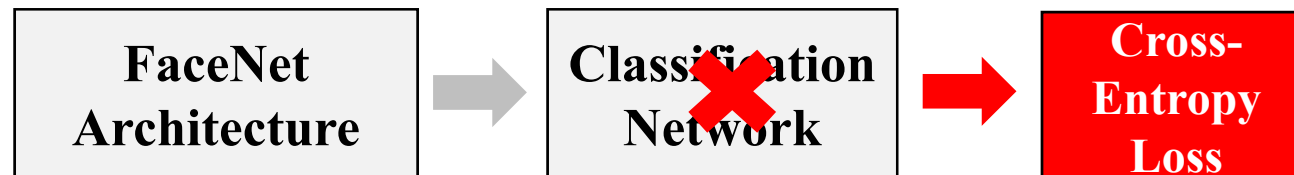
# Euclidean Embedding

- FaceNet Architecture  $f(.)$ 
  - **Objective:** provide discriminative embedding
    - faces of the same person have small distances
    - faces of distinct persons have large distances



# Euclidean Embedding

- Triplet Loss
  - It is a loss defined in embedding feature space.
    - be independent of faces to be recognized
    - be trained by using off-the-shelf face datasets



# Euclidean Embedding

- Triplet Loss
  - models embedding discriminability on a triplet  $(\mathbf{x}^a, \mathbf{x}^p, \mathbf{x}^n)$

$\mathbf{x}^a$   
anchor image



$\mathbf{x}^p$   
positive image

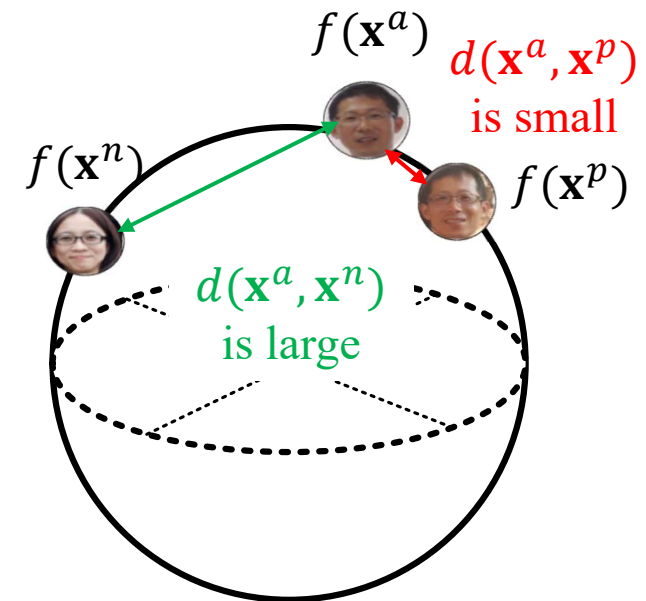


$\mathbf{x}^n$   
negative image



$$d(\mathbf{x}^a, \mathbf{x}^p) = \|f(\mathbf{x}^a) - f(\mathbf{x}^p)\|_2^2$$

$$d(\mathbf{x}^a, \mathbf{x}^n) = \|f(\mathbf{x}^a) - f(\mathbf{x}^n)\|_2^2$$

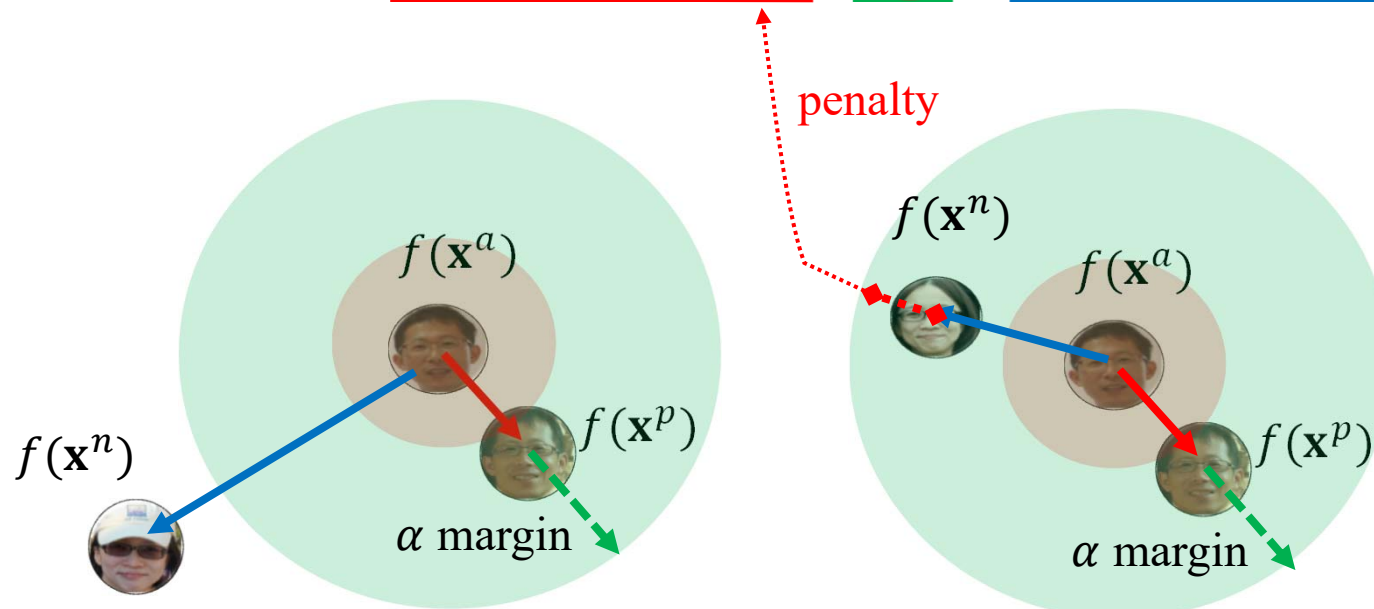


# Euclidean Embedding

- Triplet Loss

$$Loss(\mathbf{x}^a, \mathbf{x}^p, \mathbf{x}^n)$$

$$= \max\{\underbrace{d(f(\mathbf{x}^a), f(\mathbf{x}^p))}_{\text{penalty}} + \underbrace{\alpha}_{\text{margin}} - \underbrace{d(f(\mathbf{x}^a), f(\mathbf{x}^n))}_{\text{margin}}, 0\}$$

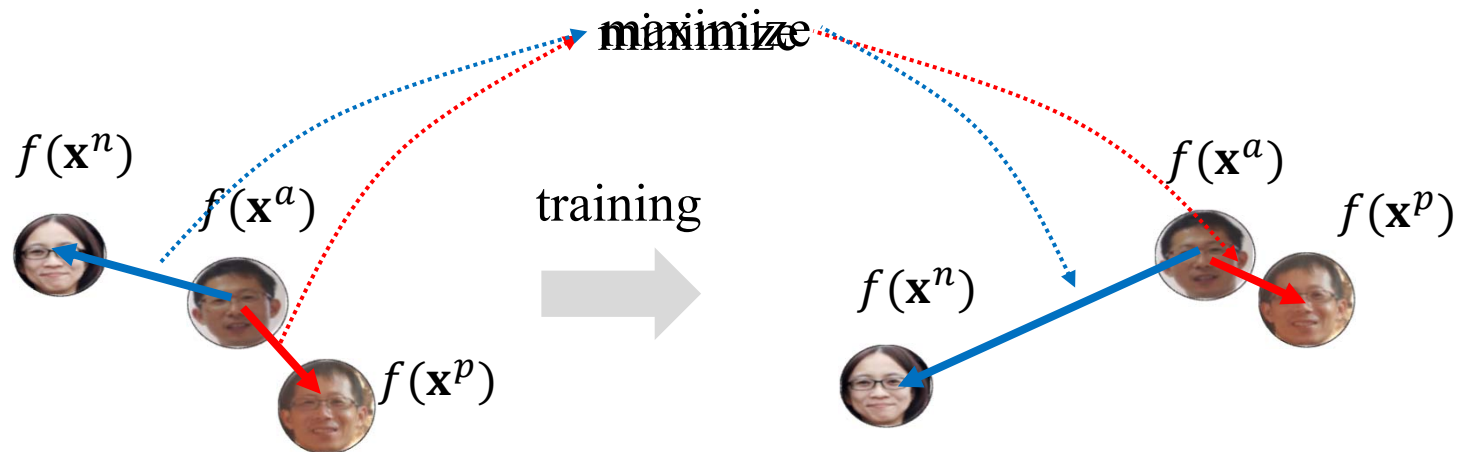


# Euclidean Embedding

- Triplet Loss

$$L(T) = \sum_{i \in T} \text{Loss}(\mathbf{x}_i^a, \mathbf{x}_i^p, \mathbf{x}_i^n)$$

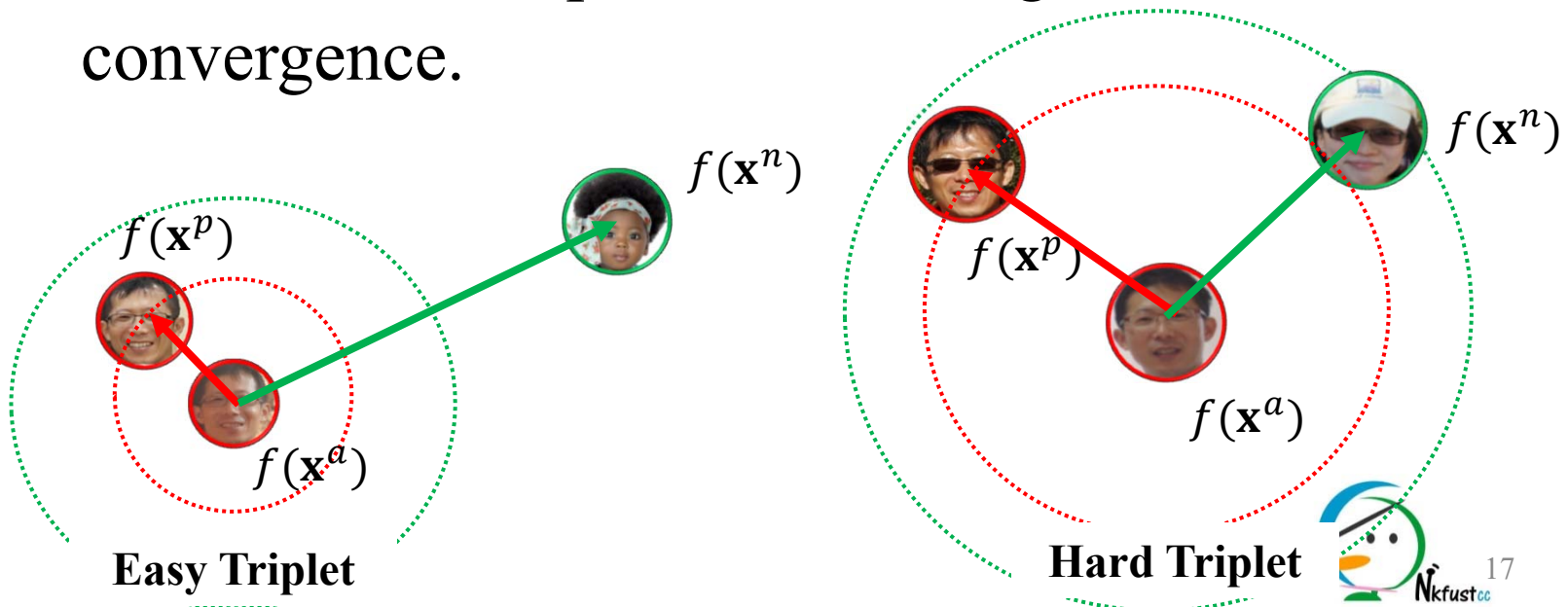
$\downarrow$   
set of all triplets





# Triplet Selection

- Motivation
  - Using all possible triples for training leads to **slow** convergence.
  - It selects hard triplets for training to ensure **fast** convergence.

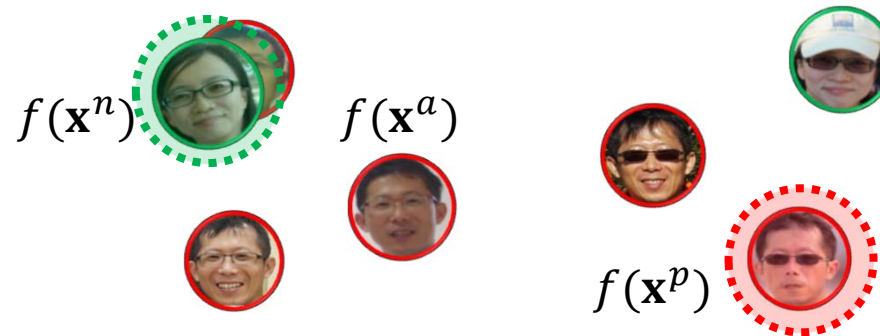


# Triplet Selection

- Intuitive Selection
  - find the **hardest** positive  $\hat{\mathbf{x}}^p$  and negative  $\hat{\mathbf{x}}^n$  to an anchor  $\mathbf{x}^a$ .

$$\hat{\mathbf{x}}^p = \arg \max_{\mathbf{x}^p} ||f(\mathbf{x}^a) - f(\mathbf{x}^p)||$$

$$\hat{\mathbf{x}}^n = \arg \min_{\mathbf{x}^n} ||f(\mathbf{x}^a) - f(\mathbf{x}^n)||$$



**drawback:** poor training

**reason:** poorly imaged faces would dominate the selection

# Triplet Selection

- Online Generation
  - **mini-batch generation**: sample training dataset to generate mini-batch around 1800 faces
    - around 40 faces per identity
    - randomly sampled negative faces
  - **triplet formation**: form all possible anchor-positive-negative triplets within the mini-batch.
    - use all anchor-positive pairs in mini-batch
    - randomly take a **semi-hard** negative (within margin)

# Triplet Selection

